

Risk and Compliance Officer

Arise is a leading, African investment company backed by reputable cornerstone investors, namely Norfund, NorFinance, FMO and Rabobank.

Arise has the ambition to become the leading and most trusted investment and development partner for financial service providers in Sub-Saharan Africa. By actively investing in and contributing towards the development of the investees, Arise aims to have a positive impact in Africa and at the same time achieve long term market returns. Arise's mission is to contribute to the economic growth of Sub-Saharan Africa and the prosperity of its people by increasing financial inclusion and employment, strengthening rural development and alleviating poverty.

Registered in the Netherlands, Arise has an office in Amsterdam and an operating office based in Cape Town, South Africa.

The Risk and Compliance Officer is tasked with identifying, managing and monitoring the portfolio and organisational risks under Arise's watch. The successful candidate will provide independent and expert advice on risk and compliance themes to the management and employees of Arise. He or She also acts as an advisor to stakeholders who are often shareholders as well as investees for the execution of regulatory/policy/discipline-specific matters.

Together with the investment team and banking development team, the Risk and Compliance Officer performs due diligence on potential new investments as well as manages and monitors the risk profile of the existing portfolio. He/she can also be requested to conduct risk and compliance reviews on investee level.

Together with the Company Secretary, the Risk and Compliance Officer drives the corporate compliance program in Netherlands and South Africa.

There is an explicit need for defining and realising process optimisations for risk management and/or contributing with risk and compliance knowledge to the development of investees.

The Risk and Compliance Officer will be based in Cape Town and will report to the Chief Financial Risk Officer.

Arise B.V.

Amsterdam

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1096 BC, Amsterdam
The Netherlands

Arise (Pty) Ltd

Cape Town

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7th Floor, Apex Building, 1 Energy Lane
Bridgeways Precinct, Century City
Cape Town 7441, South Africa

Main Responsibilities:

- Define and safeguard the risk and control framework within Arise, including risk assessment and reporting
- Perform on demand compliance and risk assessments at investee companies for the benefit of gaining insight into the main risk and compliance challenges
- Independently collect and process information on investments and divestments, providing a balanced judgement and advice based on the analysis of the data
- Upon request, draw up opinions for the managements board, supervisory board and asset management committee regarding proposals
- Advise, challenge and train management and employees on the risk and compliance themes
- Provide input to investees, management or employees regarding discipline-specific problems
- Monitor, encourage and assist with the correct execution of risk, control and compliance processes within Arise
- Contribute towards internal policies within Arise, as well as draw up frameworks and objectives
- Keep up to date with relevant regulatory and risk developments, observing bottlenecks and translating them into policy and action plans
- Liaison officer to the shareholders on risk and compliance themes
- Manage and monitor the insurance policy of Arise

Qualifications:

- Academic with 5-10 years of experience in Risk and Compliance in the financial industry
- 3+ years' experience within Private Equity, Banking, or a "Big Four" auditing firm, management consultancy or similar
- A thorough knowledge and understanding of the banking industry in Sub-Saharan Africa
- Compliance Officer Certification and/or Risk Manager Certification
- Good knowledge of international and European legislation for listed/international financial service providers, private equity firms and funds
- Desktop research capabilities
- Technically proficient (Advanced) in Excel, Word, PowerPoint essential
- Project/Process management
- Ability to plan and prioritise effectively
- Commercial orientation
- Excellent verbal and written communication skills
- Attention to detail

Personal Attributes:

- Intrinsically motivated by the mission of Arise.
- Energetic, forward thinking, pragmatic and positive mind-set towards opportunities in Africa
- Negotiation skills and ability to influence people
- Flexible and dynamic, problem solving abilities
- Self-motivated, results driven, ability to deal with change
- Excellent intellectual & conceptual abilities



- Ability to cope under pressure
- Follow-through; the motivation to ensure the highest standards of quality and productivity are consistently maintained
- Able to interact across cultures and organisation levels
- Team player

Remuneration:

Market related remuneration package, including medical benefits, pension and insurance

Applications:

Please submit applications to The Human Resources Manager at catherine.cowling@ariseinvest.com no later than Wednesday, 5 December 2018